

1           **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2           Serial No. .... 09/817,801  
3           Filing Date .... JUL 31 2003 Mar 26, 2001  
4           Inventorship .... Novak  
5           Applicant .... Microsoft Corporation  
6           Attorney's Docket No. MS1-787US  
7           Title: Methods and Systems for Retrieving, Organizing, and Playing Media Content

8           **INFORMATION DISCLOSURE STATEMENT**

**RECEIVED**

9           *References -- See Attached Form PTO-1449*

AUG 01 2003

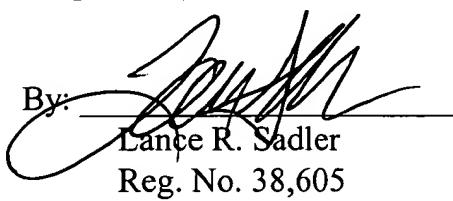
10          **REMARKS**

Technology Center 2100

11          The citations listed, copies attached, are submitted in compliance with the  
12          duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make  
13          these citations of official record in this application.

14          Respectfully Submitted,

15          Date: 7/29/03

16          By:   
Lance R. Sadler  
Reg. No. 38,605

Please type a plus sign (+) inside this box →

A circular official stamp from the U.S. Patent and Trademark Office. The outer ring contains the text "PATENT & TRADEMARK OFFICE". The inner circle has "O I P E" at the top and "JUL 31 2003" in the center. There is also some vertical text on the right side of the inner circle.

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/817,801
				Filing Date	Mar 26, 2001
				First Named Inventor	Novak
				Group Art Unit	2143
				Examiner Name	WILEY, DAVID ARMAND
Sheet	1	of	1	Attorney Docket Number	MS1-787US

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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2143  
#4  
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8-6-03

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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AUG 01 2003

Technology Center 2100

Total Number of Pages in This Submission

Application Number 09/817,801

Filing Date Mar 26, 2001

First Named Inventor Novak

Group Art Unit 2143

Examiner Name WILEY, DAVID ARMAND

Attorney Docket Number MS1-787US

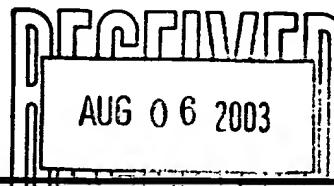
### ENCLOSURES (check all that apply)

- Fee Transmittal Form
  - Fee Attached
- Amendment / Reply
  - After Final
  - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- |   |                                 |   |
|---|---------------------------------|---|
| <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> Sheets | <input type="checkbox"/> After Allowance Communication to Group   |
| <input type="checkbox"/> Drawing(s)   |                                 | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences   |
| <input type="checkbox"/> Licensing-related Papers                                       |                                 | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  |
| <input type="checkbox"/> Petition   |                                 | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Petition to Convert to a Provisional Application               |                                 | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address |                                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Receipt Post Card; PTO Form 1449; (12) references |
| <input type="checkbox"/> Terminal Disclaimer  |                                 |   |
| <input type="checkbox"/> Request for Refund   |                                 |   |
| <input type="checkbox"/> CD, Number of CD(s) _____                                      |                                 |   |

Remarks

22801



### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

or  
Individual name

Lance R. Sadler, Reg. No. 38,605

Signature

Date

7/29/03

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: \_\_\_\_\_

Typed or printed name

Laurie S. Morgan

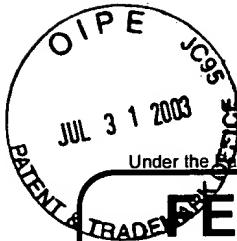
Signature

Laurie Morgan

Date

7/29/03

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PTO/SB/17 (01-03)  
Approved for use through 04/30/2003. OMB 0651-0032

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# FEET TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 0.00)

## Complete if Known

Application Number	09/817,801
Filing Date	Mar 26, 2001
First Named Inventor	Novak
Examiner Name	WILEY, DAVID ARMAND
Art Unit	2143
Attorney Docket No.	MS1-787US

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AUG 01 2003

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number	12-0769
Deposit Account Name	Lee & Hayes, PLLC

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X 18 =	
			- 3** =	X 84 =	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>		

\*\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Lance R. Sadek	Registration No. (Attorney/Agent)	38,605	Telephone (509) 324-9256
Signature		Date		7/29/03

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.